REVIEW ARTICLE
Stepping into the Public Arena
Western Social Anthropology on Development Processes in Nepal
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And selected works listed in the bibliography.

After ten days of Nepalese and Western scholars listening to each other on the occasion of two conferences on recent processes in the Nepalese society held in Kathmandu in September 1992 (1), the periodical Himal pointed out in its review a "schism that grew between some foreign and Nepali research camps". While stressing the urgency perceived by Nepalese scholars to address the issues of the socioeconomic development of the country and to attack local problems through, for instance, applied research, Pratyoush Onta, the author, quoted in a prominent place a Nepalese colleague's opinion on the Western contributions to date: "foreign scholars have done research with no relevance to Nepali society for many years". As is the case with all fundamentalism, it is impossible to argue with such a critic. However, a reply is necessary in order to continue what has been established over four decades (as apparent from Onta's article as well): a dialogue between both "camps".

Such a dialogue is all the more important, since, according to Himal, the Western social anthropology of Nepal faces to some extent the same problems that are encountered by our Nepalese colleagues educated within Western traditions: the enormous critical debate about epistemological and ethical issues concerning the Western representation of the "other" and the Western control of discourses on the representation of truth about the other - a debate going on in India for instance through the project of "Subaltern Studies" (2) - has finally reached the public forum in Nepal.

The critical voice in Himal has not (yet?) been raised by the "objects" of anthropological inquiries. These basically remain silent within the scientific realm, though not entirely: in October 1992 Alan Macfarlane presented a revealing paper in Oxford about Gurung activists' involvement in his and Sarah Harrisson's project to translate Pignède's Les Gurung (1966) into English. While in Nepal, and later by fax, he was repeatedly asked to add comments upon specific passages of the book in which the former "objects" of inquiry felt misrepresented. Those whom we study in the course of our research show an interest in
the way they are described. We may expect that these kinds of queries will come up in public more and more.

Our Nepalese colleagues increasingly ask uncomfortable questions about their role within scientific, governmental and donor institutions, fearing that so far their work has basically benefited the funding agencies and themselves. They are in a double-role since the ongoing societal process affects their living and working circumstances. At the same time, as experts, they have something to say about the form of this change. Realizing that all over the world intelligentsia tends to associate with 'power', solutions are sought to find a way out of this predicament. Many call for applied and action research, and, as mentioned, claim to abandon the traditional social anthropology as pursued mainly by Western scholars. I cannot agree with the basic imperative demanding that all scientific research be immediately useful, nor do I agree with the complaint that Western scholars have yet to contribute any knowledge about pertinent societal processes in Nepal. On the contrary, I shall try to indicate the scope of Western research on "development", understood as a comprehensive process of societal change, while our direct cooperation with donor institutions will only be a minor issue. The relationship between theory and practice (e.g., in the form of action research) will certainly be an important topic in future encounters between the Nepalese and their Western colleagues. The criticisms raised are a welcome and a much needed opportunity to assess our role in understanding the nature of Nepalese development.

It is no coincidence that the debate on the contribution of social sciences to Nepalese development was only brought to the public after the Nepalese citizens won the battle against the political establishment in Spring 1990. This debate is to be seen in the context of the democratization process, in the course of which many values and institutions formerly taken for granted are being fundamentally questioned. In this process the Nepalese and Western experiences differ. I do not know how many of my Western colleagues have been facing the same problem I had when in Spring 1987 I was prevented from presenting some critical findings from the field in Kathmandu because I wanted to talk about things "that could not be". Still, the political system has not interfered with my research (or so I believe), and it seems that it has not affected the research of other Western scholars, even if many remained careful not to raise, for instance, the issue of ethnicity in public. But why then does the Western research lack, with few exceptions, critical analyses of the political system or of the politico-economic relations? Did we follow the "rule of hospitality" that demands that we do not criticize the host? But who are our hosts?

The gap between our genuine concern from afar, and our Nepalese colleagues' daily confrontation with Nepal's societal conditions, even if they are by and large in privileged positions, is best demonstrated by the book: *Peasant Natives on Continuity and Change* edited by Kamal P. Malla, that was published just before Spring 1990. (3) Several Nepalese social scientists who contributed to this book were risking their privileges in the fight against the former "establishment" long before the "Movement" had broken into open. None of the critical Western publications can be so full of metaphors, allusions and texts-between-the-lines like several articles in this collection.

Though the introduction of the multiparty-system and decentralisation efforts form part of the substantial societal transformation in Nepal, tremendous problems persist, as do striking inequalities. The emergence of differentiated public fora, especially in urban areas, is in itself a sign of change and a new field of orientation for those who come here to do research on societal change. However, under the changing circumstances new "holy cows" are coming into existence, and a substantial share of Nepalese people lack access to the public sphere, except when they are targets of interventions designed by experts who are putting forward their specific world views and (pre)conceptions about their objects. "Development" or "societal change" is to a large extent a process of emerging and/or changing links between societal subsystems. Viewed from the socio-anthropological perspective concerned in the first place with local societies, it is most pertinent to understand how these are increasingly being "embedded" in larger societal systems (such as the state). Even though some social anthropologists dread the mere idea that their research could relate to "development" at all, it is a new area for our inquiries to conceptualize links where partly incompatible world views, visions, knowledge and technologies, systems of production and circulation, and authority patterns meet and often collide. It is then our task, in my view, to bring our knowledge into the development debate in order to counterbalance the wide-spread tendency in the development discourse to reduce "traditional" life-styles to socio-cultural factors that "lag behind". It is equally essential to understand the nature of power relations involved in the process of "lifting out" social relations from local contexts of interaction and their "restructuring across indefinite spans of time-space" (4), especially by the media of money and expert systems.

1. Social anthropology and socio-cultural factors

This review is meant to discuss some of the issues which have constituted Western research on societal processes in Nepal, the results of which were published after 1985. Only a small portion of the existing publications could be "digested" here, but I hope to be able to sketch some important areas of inquiry and to point out several apparent deficiencies which prevent the emergence of a fruitful and complex development debate within the social anthropology of Nepal. Some of the results presented by the geographers, economists and political scientists who have contributed to our knowledge will also be discussed. Since deep and long-lasting personal, and, to a lesser degree, institutional relationships between Nepalese and Western scholars have significantly structured the social anthropology of Nepal, it is also impossible to avoid quoting our Nepalese colleagues. Given my endeavor to present some of the most recent publications, there will be no space to do justice to the earlier contributions by Ch. von Furer-Haimendorf, J.T. Hitchcock, Ph. Sagant, L. Rose, J. Sacherer, P. Caplan, A. Manzardo, D. Messerschmidt, P. Blaikie, J. Cameron, upon which present research is based to a large degree. (5)

At present, a growing number of social anthropologists, who are otherwise involved in "traditional" research, occasionally turn to development issues, probably because these, such as in the form of development projects, make themselves particularly noticeable in the field. That such encounters often result in embarrassment and doubt is testified in Todd T. Lewis's review article on Foreign Aid Processes in the Kathmandu Valley (1986). He describes his experience with the world of development workers and their projects as "extremely valuable but ultimately disillusioning" (1986: 168). Most social anthropologists, Nepalese and foreign, will agree with him that "the time should long be past when projects can be naive about socio-cultural realities or send in amateurs to design and implement critical efforts involving human survival" (ibid.).

The need to promote this perspective will be the Leitmotiv of this review. However, I suggest that we abandon the term "socio-cultural" which increasingly is applied to Nepal's peoples and/or local societies in development discourse.

While a few years ago it was essential to bring the terms "social" and "cultural" into the debate, nowadays this simplifying
phraseology disguises the complexity of human organisation to which any intervention must pay attention. The opposition between "development" and "sociocultural factors", overtly or covertly present in many publications and project documents, conceals two crucial facts. Firstly, "development" (or has) a culture as well, notwithstanding some experts' insistence that the Western rationality underlying the technical cooperation is "beyond culture". As Burghart points out in his article on health development, "the health planner sees himself as a scientist, or as a purely technical actor, whose own cultural background (be it Nepalese or foreign) does not impinge on his own decision-making. It is other people, lacking professional knowledge, who are influenced by 'cultural factors'" (1988: 207, my italics). Secondly, the above-mentioned opposition narrows down the scope of human agency. People at the "bottom end" of the development hierarchies are not just embedded within specific social or cultural systems but have to make rational choices, for instance as economic or political agents - a fact that has often been neglected or played down in development discourse so far. It is our task to challenge faulty stereotypes that are often repeated in public. How the Nepalese people are presented in the public is crucial, for, as Pigg remarks in her innovative article on social representations and development in Nepal: "Images of villages and village life accompany the promotion of development ideals" (1992: 491).

That the culture of development should become a new area of anthropological inquiry, was recently argued by Judith Justice. Her book Policies, Plans, and People, Foreign Aid and Health Development (1986) focusses on the Integrated Community Health Programme which was gradually transformed into Primary Health Care. Central to this book is the question: "how comes that information on social and cultural 'realities' [sic, IPC] is generally not used in planning health programmes?". For Justice, the reason lies in the nature of the Nepalese and international institutions involved, or rather in the bureaucratic cultures in which planning and implementing take place. I agree with the author that her study contributes to the much needed new anthropology "dealing with one of the major institutions now influencing our lives - the multinational organization, with its own goals and culture" (1989: 5). Having been herself exposed to the complex system of donor agencies, Justice is able to show the interfaces between governmental and donor agencies and the "people" and she discusses the possible role sociologists could play in facilitating the "meeting" process. While reflecting about this task, Justice describes the difficulties of social anthropologists interested in "modern issues": "One advisor repeatedly asked me why I was studying planners and the planning process instead of studying kinship, as other anthropologists did. (...)". Ironically, knowledge of Nepali kinship networks could have increased advisors' understanding of decision making within the government. In addition to joking questions about why I did not carry a big stick as Margaret Mead did, I was most frequently asked what 'my group' was. When I replied, 'the Department of Health', or 'health planners', the conversation usually stopped" (1989: 136). Similar questions come from some social anthropologists as well.

A weakness of the book is that the author does not define what is meant by social and cultural "realities" (she never talks about societal divisions, conflicting interests etc.), and that she does not give a comprehensive account as to which elements in the "receivers" social life and world view condition their attitudes towards modern preventive and healing processes. Her section on "villagers' response to services" is far too short to bridge this gap, whereas other "socio-cultural" factors named throughout the book pertain basically to attitudes of the project personnel at different levels of the planners' and implementers' hierarchy. The description of this hierarchy, the cooperation between the Nepalese government and the donor agencies (including constraints preventing it), the analysis of the impact of the international health policy upon Nepal, as well as the examples given about the planning and implementation process reaching down to the villages are, however, revealing. It is interesting to see, for instance, which ranks within the government are supposed to communicate with which ranks within the donor agencies (and with whom not), or how failures are deemed to occur when the government is under pressure to dispose of funds and when advisors are eager to produce quick results. There is also an interesting section on the bureaucratic culture rooted in Nepalese traditions which fits well into Dor Bahadur Bista's findings (published later) discussed in his Fatalism and Development. Besides suggesting that the social anthropology of Nepal should take up research on international and national institutions, and that development specialists listen to social anthropologists, - one major value of this publication lies in repeated attempts to analyse the links between the "recipients" or "targets" of development projects and individuals and institutions of the Nepalese and international administration.

Obviously, this well-written book is primarily addressing planners and implementors; in Justice's eyes, the "dosage" of cultural and social issues depends apparently on what experts can digest; here I would have liked the author to go into more detail. A widespread dilemma becomes apparent here: what is obvious to social anthropologists is not known to the majority of those in charge of projects, who usually come from other disciplines, and, vice versa, academic scholars know little about the constraints of bureaucratic processes. How is cooperation then possible? How is it possible, especially since, as Justice argues, our scarcely intelligible scientific language is, not surprisingly, resented by development experts? Development experts are working under tremendous time-constraints (imposed by the system), seeking encompassing solutions, whereas we insist that realities of societal life are complex, hardly quantifiable, and to some degree unique. Justice suggests that anthropologists' approach to the planning process is different from that to gathering information (1989: 139). This is certainly a valid point, but, besides the ethical issue, it entails further practical problems. Let me come back to the basic question towards the end of this Supplicant's contribution: how to find out to what extent the included field of medical anthropology, which cast more light upon the issue of how to collect information about local societies (see also a small collection of articles in Contributions to Nepalese Studies, vol. 4, a special issue on anthropology, health and development, 1976).

Linda Stone (1986) also inquires into Primary Health Care (PHC). She shows that there is also a gap between written intentions (that contain vague notions) and the actual procedures. Though this project emphasizes "community participation" (by now a tremendously widespread term in project documents), the author is doubtful whether this aim was actually achieved. She argues that the project encountered problems for three reasons in Nepal: 1. PHC fails to appreciate villagers' values and their own perceived needs. In particular, PHC is organized primarily to provide health education, whereas villagers value modern curative services and feel little need for new health knowledge. 2. PHC views rural Nepali culture only pejoratively as a barrier to health education. Alternatively, local cultural beliefs and practices should be viewed as resources to facilitate dissemination and acceptance of modern health knowledge. 3. In attempting to incorporate Nepal's traditional medical practitioners into the program, PHC has mistakenly assumed that rural clients
passively believe in and obey traditional practitioners. In fact, clients play active roles and are themselves in control of the therapeutic process" (1986: 293).

Stone indicates here how little is known on the donor side about people's actual knowledge, perceptions and attitudes. This deficiency is matched by the paucity of scientific data on "everyday" issues, such as productive practices or usages within the household. Burchart (1988) is a rare exception. He inquires into the cultural knowledge of hygiene and sanitation that he sees as the basis for health development in Nepal. He concentrates on "water": on knowledge of water-related diseases. While discussing the adequacy of local knowledge of hygiene, methods of water treatment; and knowledge of water-related diseases. While discussing the adequate knowledge of people, Burchart examines the notion of "culture" that guides development interventions (see also above). While addressing the problem of getting planners to translate their concerns into the understanding of local people, he stresses that policies cannot be successfully implemented and taken up by people if they do not acquire some positive meaning in terms of their local culture. But the process of acquiring some mutual understanding is impeded by the cultural complexity, including the fact that "cultural knowledge" is unevenly distributed across the culture. Also: "One might (...) learn the terms of the debate or the criteria by which something is evaluated, but one cannot formalize common knowledge for it is a context bound: not merely by the purpose of the action (e.g. to decontaminate well water) but also by the persons who are negotiating the solution" (1988: 208-8). While describing the interaction between medical doctors and Maithili women (Terai) Burchart also warns: "cultural misunderstandings occur, despite the fact that everyone speaks the same language" (ibid.: 210). Here, a fascinating area is increasingly opening up in both the applied and the theoretical context: studies on problems of development cooperation (transfer and translation of knowledge; power relations involved) could contribute to the theoretical framework in the anthropological field. To my knowledge, however, no comprehensive attempts that build upon the recent anthropological theories (6) have been published on related phenomena in Nepal, and Nepalese examples and related concepts have not found entry into the broader theoretical debate.

Similar concerns emerge in the broad field of scientific studies on the natural environment as conceptualized and shaped by people. It is a rather recent area of inquiry in Himalayan research, to pay attention to environmental knowledge, to people's perceptions of environment; and to forms of management of natural resources. (7)

The majority of the contributions so far stem from neighboring disciplines (geography, biology, agronomy, ecology, economics) whose findings are being published, for instance, in the American journal "Research and Development" (but it is then not surprising that anthropological research has yet to reach an interesting level of theorising). A useful collection of geographical approaches to "indigenous environmental management and adaptation" and to "conservation and human resources" is presented in No. 1 in Vol. 10, 1990 with two short introductions by the social anthropologists Don Messerschmidt and Linda Stone. Extensive research was done at the Institute of Geography at Giessen: among recent publications are Perdita Pelz's inquiry into the ethnobotany in the Manang district (1990); Ulrike Müller-Böker's research on social and economic causes of the overexploitation of natural resources in Gorkha (1990), and Willibald Haffner's article on the use of ecological potentials in Gorkha district (1986). The activities of the Bemese Geographical Institute were briefly sketched in Number 2 (1991) of this Bulletin. Several articles on Nepal are included in an important reader on sustainable mountain agriculture (Jodha/Banskota/Pratap 1992) that includes a large variety of topics in two volumes ("Perspectives and Issues" and "Mountain Farmers' Strategies and Sustainability Implications"). This collection is certainly of interest to social scientists. However, one might even suspect that it is symptomatic that social anthropologists were not invited to participate. The fact that they were not, may be seen, among other things, as an indicator of many "hard-core" scientists' suspicion about our objectives, methods and approaches.

Several social scientists have inquired into people's roles in natural resource management. For instance, Anis Dani and Gabriel Campbell (1986) presented a document on people's participation in watershed management in the Himalayan area. The discussion of management is accompanied by the analysis of people's perceptions of local resource value, resource security, resource use management, and resource equity (1986: 35). Another interesting document, meant to contribute anthropological knowledge to a large development project, was produced by Charles Ramble and Chandi P. Chapagain (1990) in collaboration with Woodlands Mountain Institute's Makalu-Barun Conservation Project (Sagarmatha National Park). The first part of this document is a careful examination of the existing literature on society and culture in the project region (seldom do project documents present digests of existing scientific data; there is a widespread tendency to produce data compilations anew). Then follows a discussion of the Sherpas' and the Rais' attitudes to nature and nature conservation with some practical suggestions how to cooperate with the local population, firstly in order to understand their social relationships and cultural systems and to learn from the amassed knowledge, and secondly in order to translate project objectives into the "local" cultural notions.

Ramble and Chapagain point to "world views in action". This topic is taken up by two other social scientists who have worked in Eastern Nepal (Andrew Russell's PhD. thesis on the concept of environment within the Yakha community has, however, not yet been published). Klaus Seeland has presented several articles in which he explores the notion of "environment" as shaped by nature, society and religiousness (1990: 5, see also above). He inquires into external factors (for instance, the influx of Hindu agriculturists) affecting the changes in environmental perception among Tibeto-Burman speaking groups who are not only increasingly exposed to new forms of production, and hence to new forms of interaction with nature, but also to new cultural values displayed by the powerful immigrants. In this context he asks how "cultural notions of environment shape patterns of social reaction to environmental degradation" (1990: 1), and seeks to establish the reasons for social erosion in rural areas in South Asia. Seeland's articles are rather rare attempts of theorizing on factors underlying cultural change, and of examining how cultural change relates to environmental issues.

Despite what has been said about suspicions about social sciences among "hard-core" scientists, interdisciplinary attempts regarding the relationship of man and nature in Nepal/Himalayas are on the increase. Two French books result from such cooperation. The first one, Les collines du Népal central: écosystèmes, structures sociales et systèmes agraires, consisting of two volumes ("Écotypes et sociétés dans les collines du Népal" and "Milieux et activités dans un village népalais") is the outcome of a prolonged (9) multidisciplinary research carried out by ecologists, geographers, anthropologists and agronomists. Several of the articles included were even written by
interdisciplinary teams. It is a very dense publication, comprising important data, identifying relevant areas of inquiry, and aiming at enlarging the conceptual framework, even though descriptions prevail. Since the team concentrated upon one area surrounding the village Salme in Nuwakot district situated at altitudes between 1400 and 4000 meters, it was possible to give a rounded picture of the relations between man and environment while pointing to a striking ecological and cultural variation even within such a small research unit. The authors describe, in the first volume, the natural environment of the surrounding area, the local population groups (comprising a very interesting ethnographical account of Tamang chieftains that existed in this area before the Gorkha conquest - written by G. Toffin, C. Jest, D. Blamont), the diversity of agricultural systems, factors for differentiation of agropastoral systems, and the variety and scope of local and regional exchanges. The second volume concentrates on Salme village and discusses the forest resources and their exploitation, the ethnography of diversity of local Tamang society, agricultural practices and potentials as well as husbandry techniques.

The study area comprises several disparate eco-zones. Denis Blamont first distinguishes two types: 1. mountain production in a cold and dry milieu (prominence of pastoralism with high mountain pastures, besides agriculture and trade), and 2. tropical mountain area with intensive agricultural production. Two intermediary forms are added: 3. a humid and moderate mountain production system (prominence of pastoralism, but with a large forest area and the possibility to practice more intensive agriculture), and 4. an intermediary form between 2. and 3. with dependency upon rice agriculture and pastoralism at the same time. The detailed examination of the interdependence between different forms of production within and between various zones as well as of changes in productive forms that occurred here, provides a comprehensive picture of a small territorial unit.

Again, this book pays attention to the complexity caused by the tremendous climatic, natural and socio-cultural diversity in a mountain environment. Its value also lies in stressing the importance of pastoralism and the interconnectedness between pastoralism and agriculture, while usually research puts too much emphasis on agriculture and the social relations based upon it (plain perspective). The thoroughness of this book may not be appealing to development practitioners. Jean-François Dobremez, the editor, insists that this research project does not aim at any practical problems of development; rather it seeks to answer scientific questions. Still, the findings of this book are certainly valuable to development agencies. The results of the project have also been published in various article collections and other books, and, according to the editor, they have been communicated to Nepalese colleagues and officials through seminars. However, one may now know this book be disseminated to those within the Nepalese and the Western audience who are not fluent in French (and the same question pertains to a bulk of publications written in Nepali, German, Norwegian, etc.). With the huge amounts of "development"-money pouring into Nepal, setting up an excellent library on social research, containing abstracts of publications that were written in other languages than English, would be a comparatively modest project.

The second French publication concentrates on *Sociétés rurales des Andes et de l'Himalaya*, and thus stresses the fact that there is something specific to the mountain environment that needs to be explored. It is a promising attempt at bringing to light several interesting differences between Andean and Himalayan habitats and societies (different quantities of space available to people's disposal; different relationships between state measures and mountain peasant societies). The comparison is however not very far-reaching, and this volume is rather a collection of papers on different topics than a form of dialogue, lacking a concluding effort to conceptualize what is specific to the mountains. Its main value lies in initiating comparative research (10).

The social anthropologists who contributed the Himalayan examples all concentrate on the question how space can be conceptualized by social science, and what kind of "local" categories there are. Gérard Toffin discusses different spatial levels of social morphology among the Tamangs of Anku Khola, which intersect with social divisions given by forms of technological adaptation in the production process. Marie Lecomte-Tilouine gives an interesting account of perceptions through which space is being taken "apart" by a Magar society in Gulmi district. By giving a list of toponyms, the author stresses that symbolic dichotomies do not necessarily coincide with social and local barriers. Claiming that "the village does not exist", Philippe Ramirez discusses the lack of homologous administrative units with "coherent" units which are given by natural features, economic or social reasons etc. These three short articles are imaginative and stimulating attempts to compare differing perspectives (e.g., of the local people vs. of government officials or of scientists) of the same (?) phenomena.

2. Space-time, economy, demography

Introducing the spatial perspective leads me to two attempts done outside social sciences and to think about the nature of development processes in the Himalayas. How does the fact that the mountain environment conditions relationships in space and time, affect the processes of change? The geographer Nigel Allan compares two models of mountains, and argues that new models are needed to conceptualize "mountain development". The altitudinal zonation model, derived from biogeography, has been widely used as a vehicle for characterizing man's imprint on the mountain landscape (1986: 185). This model reflects successful human adaptation and manipulation of the great range in environmental conditions found in mountain habitats. Allan proposes an alternate model that takes into consideration the tremendous changes in productive practices and expanding markets which arose in the mountains (Alps, Andes, and the Himalayas) through road, track and bridge constructions. The altitudinal zonation model is no longer suitable for characterizing mountain ecosystems now that human activity is directed to new motorized transportation networks linked to a wider political economy and no longer dependent on altitude" (ibid.). In Allan's model the "plains" where there are societal centres (political-administrative centres, market centres) are increasingly encroaching upon the mountains.

Even though Allan points to environmental degradation as a consequence of enhanced access, his analysis of the mountain economy is far too simplistic. There is no mention of the everlasting debates in social sciences (e.g., the dependency-models, applied to Nepal by various Nepalese scholars as well as by P. Blaikie, J. Cameron and D. Seddon) about the nature of the potential integration processes. While infrastructural development (expanding network of communication and transport) brings about crucial changes in the productive system and in the circulating process, accessibility may enhance the economic marginality in a periphery rather than reduce it - even though some sections of the population may take advantage of new opportunities. Inquiries about causes and consequences of market expansion in the distinct Himalayan environment constitute a new field of interest.

The renowned Indian economist Narpat Jhoda presents an alternative model...
of mountain development (1992). He begins his inquiry by establishing mountain
specificities. (11) The major characteristics of the mountains are their inaccessible,
in the sense of poor communication and limited mobility; fragility, given by their
geological composition in particular; marginality, and diversity. In this model, "a
marginal entity is the one that coexists least in the mainstream situation (...). Several
entities acquire marginal status when they are linked to dominant entities on unequal
terms". However, "mountains, owing to their heterogeneity, have several, often
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diversified activities". Helping in this process are the human adaptation mechanisms
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since the much discussed publications by the team Blaikie/Cameron/Seddon, a debate on how to theorize on mode(s) of production in Nepal and on the related class relations is being carried on by Stephen Mikesell and Jamuna Shrestha (1988).

While poverty is a rare topic in Western research, so is also prosperity. As widely known, socio-anthropological research among upper strata is a difficult endeavour, since, for instance, prosperous entrepreneurs are reluctant to talk to strangers about the reasons of their success. Laurie Zivetz has succeeded in gaining access to entrepreneurs from several communities in Nepal. Newars, Marwaris, Thakalis, Sherpas, Tibetans as well as Gurungs and Manangis. In her book Private Enterprise and the State in Modern Nepal (1992) she explores the emergence and evolution of modern entrepreneurship in the context of Nepal's specific problems (size, lack of local raw materials, lack of access to sea-routes, India's political and economic strategy towards Nepal, the governmental constraints upon private enterprise). The most interesting section of the book is the analysis of why the above-mentioned minority communities have proved particularly successful in adopting an entrepreneurial culture, and how the Newars' decline as Nepal's foremost entrepreneurial community has come about.

In her very vividly written analysis, that is often "spiced" with proverbs and anecdotes, Zivetz comes to the conclusion that there is no single formula for success in business. But there are some regularities, such as a sense of community identity and internal cohesiveness; all the communities have gained their experiences in trade, and many have evolved internal systems to promote business within the community. Most of these communities have inbuilt sanctions on the accumulation of wealth. However, in many cases they also place importance on relatively egalitarian social organisation and a measure of reciprocity within the community. "Most of these communities have inbuilt sanctions on the accumulation of wealth. However, in many cases they also place importance on relatively egalitarian social organisation and a measure of reciprocity within the community." (1992: 124).

There is also the tendency among the successful communities not to prevent women from venturing into business. One of the factors preventing the Newars from accumulation is identified in their reluctance to establish economic partnerships within the community. With regard to the issue of cooperation, it is interesting to see that a strong sense of unity based on cultural and social grounds may not be matched in the economic field; entering into economic relations with members of the same community is avoided in order to rule out frictions. Another revealing area of Zivetz's inquiry is her discussion of "marginality". While all the groups mentioned are marginal to the Nepalese society which is dominated by high-caste Hindus, each of the communities studied has been able to advance itself by building links with Nepal's ruling circles, through serving the rulers du ring the 19th century, and nowadays through establishing joint ventures either with Nepalese elites or with foreign entrepreneurs, and through bribery: "Social marginality - or, rather, minority status - has no prevented Nepal's entrepreneurial groups from co-existing quite successfully with the powers-that-be" (1992: 132).

Another area where there are various dimensions of inequality become apparent are women studies and studies on gender relationships. Many (female) scholars are actually conducting research on gender relations, female marginality and marginalization in the process of market expansion, prostitution, industrial labour in the urban context, and women's role in rural productive systems (the latter topics are being mainly researched by Nepalese scholars: Meena Acharya, Hishia Yami and many others). One recent area of inquiry is women's role in "community forestry" which will probably provide the most exciting data on female collective action. While in recent years a great variety of papers were presented at various conferences and seminars, or as project documents (one bibliography on women and development was recently compiled at ICIMOD), I was not able to trace publications which would take up the issues introduced by Bennett/ Acharya and their team (CEDA, 1981) in a similarly comprehensive manner. Valuable data were recently presented by Kate Gilbert (1992) who studied intra-family dispute over property and labour in Eastern Nepal as well as legal documents (Mukhi Ain, 1978-79, Sections on Family Law). Gilbert analyses legal provisions for women in comparison with the actual practice within the confines of household and family. As usual, there is a lack of gender based rights and their means of enforcement. Even if they know their rights, women are "unable or unwilling to pursue (their) rights through the legal system because of the inherent conflicts between the legal rights offered to them and their own long-term self-interest as members of families and workers in a subsistence economy" (1992: 733).

In recent years demographic issues, including the wide complex of migration, were mainly studied by Nepalese scholars (Harka Gurung, Nanda Shrestha, Poonam Thapa). (16) Among studies on family and household, an international team (Thomas Fricke, Dilli Ram Dahal, Arland Thorton, Willam Azinn and Krishna P. Rimal) has recently presented a report (Fricke et al. 1991), based upon research conducted among Tamangs in two areas within the Kathmandu Valley and within the urban Ankhu Khola Valley over 11 months (1987/88). Economic change is seen here as one element of transformations in social organization with implications for family relationships, marriage, and fertility. It is, among other things, an interesting inquiry into changing patterns of household relations in the rural context: "Our hypothesis is that supports for high fertility in non-market economies are mediated by family organization through alliance building and household formation strategies. This organization is undermined when senior family members lose control over primary production through processes, such as land fragmentation and the rise of educational and wage-labor opportunities" (ibid.). The report contains a discussion of the complementarity of ethnographic methods and of quantitative data collection, an overview of recent theoretical approaches to demographic transition, and a detailed bibliography. (17)

In view of its wide reception there is no need to comment extensively upon a recent comprehensive account on the political economy of social deprivation in Nepal, namely David Seddon's Nepal: A State of Poverty. After identifying the roots of poverty and inequality through the analysis of political and economic change since the "unification", Seddon examines the scope and the symptoms of the current crisis in Nepal. The deterioration of the natural environment and the population pressures are seen in the framework of an "essentially hostile social environment in which exploitation, oppression and discrimination are pervasive". The analysis of the economic basis of social inequality and social deprivation is followed by an inquiry into the role of the state. Conservative vested interests within the state bureaucracy and in the wider political economy, of which the government forms part, are crucial factors deepening the crisis. Seddon identifies the role academics as well as foreign aid agencies should play in providing effective support for those struggling to improve the living and working conditions of the Nepalese masses by presenting sharper and more critical analyses of the Nepalese society (the book appeared in 1987). Rightly, as we know now, Seddon identifies fundamental contradictions that became increasingly apparent in the course of the 80s, and that would eventually lead to political change. Seddon concentrates upon the necessary reforms in political and administrative bodies (including the legal system) as well as in governmental procedures. I would have preferred to put the emphasis not on the
"result" of the struggle as apparent in the new constitution, but on the process that brought about this change: as an indicator of a new societal force emerging in Nepal, a highly politicized civil society.

3. Interface between political-administrative institutions and local societies

The encompassing processes of infrastructural integration in Nepal through enhanced transport and communication are, to a large degree, the result of governmental measures. At the same time, they provide a basis for further governmental expansion and the proliferation of state institutions, through which a large amount of development projects are channeled, even to the most remote regions of the country. So far, the governmental expansion to the 75 Nepalese districts (with more than 550 offices based in every district capital), rationalized by "the state" as decentralisation, has enhanced centralisation (there is some indication that this decentralisation process is now gathering momentum). (18) It has manifested itself in increased control over the citizens: maintaining law and order; controlling resources; e.g., through land and forest registration and/or nationalization; directing economic change and promoting welfare through central measures. It is difficult to assess the role of governmental institutions in effecting societal changes throughout Nepal, since there are other forceful factors involved. Certainly, a very important area where to find its impact is in establishing and strengthening existing institutional links with local societies. The emerging interaction between social entities with differing scopes (state vs. community) connects world views (including legitimacy patterns), rationalities, and that differ from each other power potentials. On the empirical level, it is important to understand the state in a threefold perspective: as an autonomous agency; as a steering mechanism; and as reacting to internal (interest groups) and external (global politics; impact of international donor-agencies) pressures. It is equally important to examine institutional aspects of "community life", including the process of institutional change and institutional innovation with its binding elements (e.g. world views) and discontinuities (such as unequal access to resources), and hence forms of cooperation and conflict within local social entities.

The place where state and people meet, can be located through examining the welfare functions of the state, the (re)allocation and management of economic resources, forms of law and order maintenance, and endeavours to establish and maintain legitimacy patterns on the one hand, and through understanding individual and collective action within local societies reacting to, and bringing about, specific state measures on the other, for instance through strategies to achieve access to public goods and/or to retain control over collective goods which the state seeks to appropriate, e.g. by the nationalization of forests. The Nepalese citizens' attitude towards the state - that can prove benevolent but also harmful - is ambivalent, all the more so because, with the increasing societal complexity, the state cannot redeem its promise contained in its self-portrayal as the major force of societal progress that it strives to retain. It is important to note, however, that while many Nepalese citizens try to benefit from what the governmental system as a developing agency has to offer, a substantial share of action occurs outside the realm of the state institutions.

Among publications focussing upon the interface between governmental institutions and "local" societies, Gilmour and Fisher's Villagers, Forests and Foresters: The Philosophy, Process and Practice of Community Forestry in Nepal is certainly a most interesting and useful contribution. This book was written with a practical purpose - and addresses development practitioners above all: it is an attempt to elaborate a "holistic approach to community forestry" that is defined as the "control and management of forests by the people to use them". Besides digesting a large body of recent literature on forests and forest management in a development perspective, the authors are very well acquainted with social, economic and political life in Nepal at various levels of the national hierarchy and are accordingly differentiated in their argumentation. Forests in Nepal are so ubiquitous that all major societal phenomena can be illustrated and analysed with reference to them. Accordingly, this publication is a treasure trove - be it in the sense of learning from the authors, be it in the sense of identifying gaps and future areas of inquiry.

The book is the interface of interdisciplinarian cooperation between a forester (Gilmour) and an anthropologist (Fisher), with a short introduction by a geographer (Jack Ives), and one chapter on "Cost benefit analysis", containing a critical assessment of economic approaches to project evaluation by Neil Byron. "Community forestry" is the focal point of several crucial debates about the quest for multi-disciplinary approaches (and the preventing constraints), about accepting that the profession of specialists is a culture (against conceptualising science as value-free), and about power relations (for instance between the national and local levels) involved in natural resource management. The forestry perspective prevails in the chapters: "The extent and importance of Nepal's forests" and "Appropriate silviculture"; the socio-anthropological perspective is stronger in "Community forestry as a social process", "Implementation", and "Political and institutional context: Can community forestry work?", while both disciplines are fruitfully combined in the chapters on "Indigenous forestry", "Research for community forestry", and "Monitoring and evaluation". The value of the book is introduced by showing how the "old" concept of community forestry has recently become an important "paradigm" in the development discourse. The authors draw upon their experience with the development institutions (especially in the well-known Nepal-Australia Forestry Project) pointing out a tragedy lying in the fact that substantial development intervention (measured by the quantity of resources spent) has neither reduced worldwide inequality nor enhanced the access of the poor and underprivileged social strata to natural resources. They discuss the fallacy of elitist approaches which assume that the modern science and technology incorporated by the Western educated elites are to be imposed upon the putative ignorant village people. The authors criticise the two widespread development stereotypes: the one presenting local people as incapable of sensible resource management and containing that people must be educated, motivated, informed, coerced, "convincing"; and the alternative: the "ancient wisdom"-view which sees the knowledge and activities of villagers as a reflection of the special and inherited wisdom of generations that enables them to solve every problem if only they are left alone. The approach chosen is to assess the scope of existing social mechanisms and technical knowledge in local management of forest resources: "We then need to recognise and support what is working and to facilitate local problem solving when things are not going so well" (1991:56).

The authors take the diversity within and among local societies as given. Instead of trying to reduce the societal complexity to a unifying model that allows one to neglect "idiosyncrasies", they advocate interventions which are flexible enough to adjust to specific local conditions. The knowledge of local societies, as I infer, is translated into action in the sense of making the practitioners aware of diversity, without compelling them to know every detail. This awareness is the basis for designing projects that adjust to local conditions, acknowledging that members of "local
societies" are the carriers of the projects, including the planning process, instead of viewing them as mere "recipients". Arguing against uniformity, Gilmour, Fisher and Byron touch on some rather delicate points concerning the procedures of Nepalese and foreign bureaucracies involved: they argue against the need of formal committee structures (users' groups) to carry out projects, against the overall need to design formal growth/yield models to manage forests, and against the need for cash-flow budgets for tree production and management systems. This may not be surprising to social anthropologists, but in development practice one rarely encounters the argument that farmers will adopt new practices when and if these make sense to them - regardless of the sophistication of project designs on paper.

The farmers discussed are to a lesser extent individual household members, and to a larger extent local communities that do not necessarily coincide with any administrative boundaries. In recent publications, the collective spirit of local (non-Hindu) communities emerges as a broad issue, with much hope being put in its role in development processes. Gilmour and Fisher differentiate in this area. Probably having rational choice-minded planners in mind, whom they choose to convince, they stress the importance of social values and norms as important cultural elements that bind societies together. However they do not exaggerate the issue: "community forestry" is not only about cooperation, but also about conflict, about decision-making and reaching consensus, as well as about inequality within local communities involving different interest groups. (19) The authors give enough examples to demonstrate how difficult it is to mobilize collective action and what kind of internal frictions prevent cooperation, and how successfully collectives can manage. They deserve into the politics by showing, for instance, how local ward leaders make claims on behalf of their constituents, seeking to enlarge their power base; they also deal with the inherent difficulty of making project objectives "public" in the initial stage of development interventions.

The sections on the interfaces between the state and donor agencies, personified in Forest Department officials of different weights, and the local societies (ideally not represented by any leaders) are most interesting. Gilmour and Fisher show that projects are social processes - visible events of policy implementation "around" which the state apparatus and aid agencies organize their activity. In their analysis, two social systems (with their own values, norms, institutional arrangements and limitations) meet in various forms and situations. In both systems, the actors are bound by the constraints of their own system. (It is difficult to collect data on these problems, unless one is himself/herself, like the authors, part of the process.) The authors indicate specific negative stereotypes prevalent on both sides, making both "parties" distrustful about each other's motives and hindering concerted action. They make important remarks on the devolution of state control over forest resources in the overall process of decentralisation (that now finally seems to be getting underway). It is indeed paradoxical that at present the Forest Department "is being asked to use its authority to give away its authority"! In this process, District Officers are put into an ambiguous position which they try to exploit for their own benefit; they "have a tendency to hand over responsibility for management but keep important elements of the authority for themselves".

The state's failures in this process are described in the final section. As already done by Justice, the working of the national bureaucracy is criticized mainly with reference to the traditional political culture. Once more we encounter the chakari-complex, and the growing need for officials to make decisions. Personal attitudes, as shaped by traditional patterns, are certainly among the most forceful obstacles to the overall development process. However, one is glad to credit Dor Bahadur Bista with writing so much on this problem, thus creating room to address new issues in the future, since cultural attitudes are but one factor contributing to the failures of the political-administrative bodies in Nepal. In the context of forest management in Nepal one would like to have a more comprehensive final discussion on institutional limitations acting upon the governmental bodies concerned: coordination of forest protection and utilization between various ministries and the Planning Commission; legal inconsistencies and problems with legal enforcement; institutional pressures created by various donor institutions active in this area; shifting economic policies and hence shifting assessments of the importance of forest resources in relation to economic development; conflicting objectives of the administrative and the political process respectively (see, e.g., D.R. Panday 1989).

Despite putting the main emphasis on the local efforts, the authors attach great importance to governmental bodies. They point repeatedly to the emerging dilemma of the state's power monopoly and the imperative need for self-restriction in the decentralisation process at the same time. They show how powerful members of local societies have succeeded in enlarging their power base by establishing links to state officials (see also Brauen 1984). Regarding the equity issue, the major role is attached again to state agencies! But is the state able to advocate equity demands? Despite having given much evidence to the contrary, the authors believe, for instance, that in the long run radical changes in the attitude of the institutions and individuals can come about, and that the Ministry of Forest staff will be able to ensure that forest management plans allow for the provision of products to all segments of the population. Apparently, Gilmour and Fisher solve the Gordian knot by expressing their hope in the government changing itself. But how can that happen? Gilmour and Fisher do not explicate the models underlying their analysis (in the fields of new institutional economics, economic theories of politics, social organisation, political theories); and I am inclined to assume that they put too much emphasis on the state's role in creating societal change.

The question of the institutional channels through which interventions, such as knowledge or technology transfer occur, is nowadays addressed increasingly by development theoreticians. (20) The constitutional change in Nepal has additionally reinforced the scientific interest in political institutions, governmental policies as well as in the process of the Nepalese people's politicisation, in the sense of discovering new social and political forces active in Nepal at the national and/or local level: "concerned citizens" undertaking action in solving societal problems (e.g., Human Rights Fora); political mobilization (strikes, protest against governmental measures as such as the Tanakpur-issue); attempts to define and pursue measures of self-restraint (especially in view of environmental degradation) (21); and striving for self-assertion in defining and pursuing development objectives (aims, priorities, forms of implementation and evaluation).

The forceful political changes during the last decade gave impetus to sociological/anthropological inquiries into the political process. Several authors have imaginatively related cultural values to political action: for instance Martin Gaenszle (1992) points out the symbolic importance of blood sacrifice as a tribute to the democratic movement. Bert van der Hoek (1990) poses the polemical question relating to the political situation before Spring 1990: "How can it be explained that the ever-changing political circumstances, especially of the last two centuries, are accompanied by the persistence of rituals of an utterly conservative nature?" (1990: 149). The democratic movement itself was documented (and illustrated) by Bonk (1990).
and 1991), and the problem of human rights has been recently discussed by Krämer (1991). Not surprisingly, little research has been done (or published?) on institutional aspects of the government, on informal relationships between political factions, or on powerful interest groups and their action. Alex Kondos' article on corruption (1987) is one of the exceptions. Several articles on ethnicity, identity and national integration have been written by Western scholars (this issue was also addressed especially by P.R. Sharma on the Nepalese side, see also the "Ethnicity" issue in Himal, 1992) for instance by David Gellner (1985) and Declan Quigley (1987) who discuss the nature of Newari identity. Many earlier publications deal with political conflicts in villages (see, e.g., Blustein 1977). A recent low-caste attempt to oppose Brahmanic rules was described in the semi-documentary film Makai by Bieri/Garlinski.

The inquiry into the ongoing socio-political process in Nepal concerns two major interrelated topics: the process of change in state-systems and the limits to statehood, and the ongoing political process, in the course of which hidden societal cleavages and pertinent questions are coming into the open. Nepal faces various specific problems rooted in her traditions, the Himalayan environment, and geopolitical conditions, but also global problems, such as the environmental degradation, that call for civil action all over the world. The process of politicization, as already mentioned, is accompanied by a critical examination of the existing order and power images. There are common themes of universal importance, such as the critique of the progress imperative; and the related debate on the sociology of knowledge, the function of science, and the role of scientists within the societal order. In the context of inequalities within the world system, conflicts among those who are part of the process may initiate attempts to think of solutions. By questioning the adequacy of Western research, our Nepalese colleagues "dragged" social anthropology into the public arena. This should remain one of the "places" where we can continue our dialogue.

Footnotes:


2. Several Subaltern Studies. Writings on South Asian History and Society volumes have been published by Rajan Gutch since 1982 in Oxford University Press, Delhi; for a good overview see: O'Hanlon, R. 1988.


7. While talking of "environment" it is also important to mention the urban settings (see, e.g., Herdick 1988), and human shaping of the habitat by constructing houses and settlements (e.g. Toffin 1991/1981). An important source on the present debates is The Himalayan Dilemma by J. Ves and B. Messerli (1989). Further research outside social anthropology was done by C. Riegler, J. Carter, B. Brower, L.M. Bjonnness, J. Kawakita, and others.

8. It would be very interesting to have an inquiry into the notion of "people" in publications dealing with development.

9. Several articles and chapters are distinguished in the introduction. The main period was between 1978 and 1983.


11. These are not necessarily confined to mountain areas but characterize the Himalayan features.


13. While going through the Himalayan Research Bulletin XI (1-3), 1991, I found several indications of recent research, such as by J. Fortier on Land Tenure, Labour Practices, and a Theory of Multiple Modes of Production in Jajarkot district, or M. Cameron: "A Critical Examination of Structure and Practice in Nepal's Jajarkot system: Exchange, Domination, and resistance from the Perspective of Low Castes" (probably based upon her field data from Bajhang).

14. Again, the majority of "critical" issues are being brought up by Nepalese scholars at various American Conferences. See also research done by H. Zimolong on power structure in a Hindu caste society in the western Nepalese village G. (Gorkha district) concentrating mainly on the oppression of low castes, and low caste people's perception of social inequality. (For a critical assessment of the application of the centre-periphery model, as is done in several publications by P. Blakie, J. Cameron and D. Seddon, see Macfarlane (1990) and Mikesell (1988). However, pointing out theoretical deficiencies of their model is not meant to diminish the importance of their contributions to the political economy of Nepal in any way.

15. There are many important recent contributions such as, Eshlin (1990), Allen/Mukherjee (1990), Schulter (1988), Levine (1988), see also the literature on relations within households, and Kondos/Ban (1990).


19. Gilmour and Fisher refer here to a large body of socio-anthropological data on indigenous management systems and forms: see, e.g., von Führer-Haimendorf 1964; Campbell 1978; Molnar 1981, several publications by Messerschmidt. There is also an interesting publication by Ben Campbell on cooperative forms among the Tamang, forthcoming. See also Stone (1989).


21. Being a pertinent issue in Western democracies as well, see, e.g., Offe 1989.

22. See also Kailash issue XV (3-4), 1989, containing contributions by M. Hutt, D.J. Matthews, A. Macfarlane, A.W. Macdonald, Ch. McDonough, T. Riley-Smith; and also Pfaff-Czarnecka (1989), M. Gaborieau 1993, and Anne de Sales, forthcoming.

References


